

FORM PTO-1449 (MODIFIED)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT	ATTORNEY DOCKET NO.  UK02-016	SERIAL NO. 10/718,874 TBA 1
	APPLICANT Balsamo, et al.	
	FILING DATE <u>11/21/2003</u> Herewith	GROUP <u>2873</u> TBA A

REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
<i>DNS</i>	AA	4,763,974	8/16/88	Thaniyavarn	<del>350-385</del>	<del>96-143</del>	
<i>DNS</i>	AB	5,917,642	6/29/99	O'Donnell et al.	359	245	
	AC						
	AD						
	AE						

FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub-Class	Translation Yes No
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
<i>DNS</i>	AL	Cartledge, "Performance of 10 Gb/s Lightwave Systems Based on Lithium Niobate Mach-Zehnder Modulators with Asymmetric Y-Branch Waveguides", IEEE Photonics Technology Letters, Vol. 7, No. 9, September 1995, 1090-1093
<i>DNS</i>	AM	Jiang, "LiNbO <sub>3</sub> Mach-Zehnder Modulators with Fixed Negative Chirp", IEEE Photonics Technology Letters, Vol. 8, No. 10, October 1996, 1319-1321
<i>DNS</i>	AN	Koyama et al., "Frequency Chirping in External Modulators", Journal of Lightwave Technology, Vol. 6, No. 1, January 1988, 87-93
<i>DNS</i>	AO	Nayyer et al., "Proposal of an Asymmetric Mach-Zehnder Structure with Improved Optical Modulation Characteristics", Proceedings of 19 <sup>th</sup> Australian Conference on Optical Fibre Technology (ACOFT '94), 4-7 December 1994, Melbourne Vic. Australia, 166-169
<i>DNS</i>	AP	Ghislotti, et al., "Single-Drive LiNbO <sub>3</sub> Mach-Zehnder Modulator With Widely DC Tunable Chirp", IEEE Photonics Technology Letters, Vol. 15, No. 11, November 2003, 1534-1536
<i>DNS</i>	AQ	Balsamo et al., "Single-Drive LiNbO <sub>3</sub> Mach-Zehnder Modulator With Widely DC Tunable Chirp", Paper Mo4.5.3, ECOC-IOOC 2003, September 21-25, Rimini - Italy

EXAMINER: *[Signature]* DATE CONSIDERED: 12/16/2004

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement-PTO-1449 (Modified)

**DAVID SPECTOR**  
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